



IFW

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Hoopes et al. Group Art Unit: 3611  
Serial No.: ~~11/554,114~~ 10554114 Examiner: Not Yet Assigned  
Filing Date: September 28, 2006 Docket No.: 18801-00864  
(formerly 18801-00733)

Title: SINGLE JAW MECHANISM FOR FIFTH WHEEL HITCH

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Dear Sir:

I hereby certify that these papers are being deposited with the United States Postal Service with sufficient postage as first class mail on the date below and in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 17, 2008  
Date of Deposit

Jacqueline K. Boziak  
(Typed or Printed Name)

Jacqueline K. Boziak  
Signature

Documents Enclosed:

1. Request for Correction of Filing Receipt (2 pages)
2. Copy of Corrected Filing Receipt (3 pages)
3. Appendix A – March 12, 2007 Submission and Return of Postcard (8 pages)
4. Return Receipt Postcard



**PATENT**

**ON THE UNITED STATES PATENT AND TRADEMARK OFFICE**

TITLE OF INVENTION: SINGLE JAW MECHANISM FOR FIFTH WHEEL  
HITCH

U.S. APPLICATION NO.: ~~11554114~~ **10554114**

NAME OF APPLICANT: Hoopes et al.

FILING DATE: September 28, 2006

GROUP ART UNIT: 3611

EXAMINER: Not yet assigned

CUSTOMER NO.: 33772

ATTORNEY DOCKET NO.: 18801-00864 (formerly 18801-00733)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sirs:

This is Applicant's second request for correction of the filing receipt for the above-mentioned Application. Applicant sent a prior request on March 12, 2007, and the USPTO received the request on March 14, 2007. However, it appears that the USPTO has yet to take action on this request; therefore, we are submitting a second request. The March 12, 2007 submission, along with the return postcard stamped "March 14, 2007" by the USPTO are attached in Appendix A for your reference.

The filing receipt issued on February 5, 2007, did not have the provisional application number listed in the Domestic Priority data as claimed by Applicant. The filing receipt indicates only the PCT application number. Enclosed is a copy of the filing

receipt also showing the correction marked in red. Accordingly, Applicant respectfully requests that the corrected filing receipt read as follows:

Domestic Priority data as claimed by applicant:

This application is a 371 of PCT/US04/12060 04/20/04

**which claims priority to U.S. Provisional Patent Application Serial No.**

**60/464,287 filed on April 21, 2003**

Applicant further respectfully requests a corrected filing receipt be sent to the undersigned attorney reflecting the above change.

Applicant believes that no fees are due for the filing this response. However, if any fees are due, please charge any such required fees to our Deposit Account No. 13-0265.

Respectfully submitted,

DATED: July 17, 2008



Risto Pribisich, Reg. No. 55,859  
McDonald Hopkins Co., LLC  
600 Superior Avenue, E., Ste. 2100  
Cleveland, OH 44114-2653  
(216) 348-5427 - Telephone  
(216) 348-5474 - Facsimile



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/554,114 ✓	09/28/2006 ✓	3611	600 ✓	18801-00733 00864	12 ✓	20 ✓	2 ✓

CONFIRMATION NO. 9365

## FILING RECEIPT



\*OC000000022228740\*

Robert H Earp III  
 McDonald Hopkins Company  
 2100 Bank One Center  
 600 Superior Avenue East  
 Cleveland, OH 44114-2653

Date Mailed: 02/05/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria, Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael A. Hoopes, White Pigeon, MI; ✓  
 Richard W. McCoy, Granger, IN; ✓  
 Thomas W. Lindenman, South Bend, IN; ✓  
 Anthony S. Roberts, Granger, IN; ✓

## Power of Attorney:

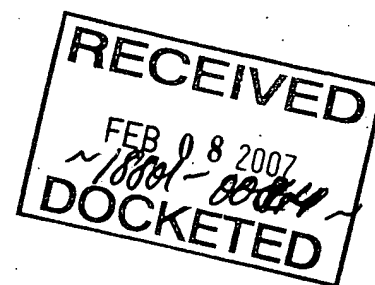
Robert Earp III--41004  
 Todd Benni--42313  
 Robert Baraona--45426  
 David Cupar--47510

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/12060 04/20/2004 ✓

Provisional Serial No. 60/464,287

## Foreign Applications



If Required, Foreign Filing License Granted: 01/29/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/554,114**

Projected Publication Date: 05/10/2007

02-08-07A09:53 RCVD

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Single jaw mechanism for fifth wheel hitch

**Preliminary Class**

280

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# APPENDIX A

NO. 462

Inventor/Applicant: Michael A. Hoopes et al. Pat. No. 11554114  
 Title: Single HUB for a Hub and Spoke Ser. No. 9-238-06  
 Attorney Docket No.: 1801-00864 Filed Date: 9-12-07

☐ PATENT/DESIGN APPLICATION

Transmittal(s) \_\_\_\_\_  
 Cont. Div. C-I-P \_\_\_\_\_ Provisional \_\_\_\_\_  
 Declaration/Power of Atty. \_\_\_\_\_  
 pgs. Specification \_\_\_\_\_  
 pgs. Claims \_\_\_\_\_  
 pgs. Abstract \_\_\_\_\_  
 Sheet(s) of Drawing(s) \_\_\_\_\_ Formal \_\_\_\_\_ Informal \_\_\_\_\_  
 Preliminary Amendment \_\_\_\_\_  
 Claim for Right of Priority \_\_\_\_\_  
 Priority Document(s) \_\_\_\_\_  
 Small Entity Statement \_\_\_\_\_  
 Final Fee \_\_\_\_\_  
 Response to missing parts \_\_\_\_\_

☐ AMENDMENT (Due \_\_\_\_\_)  
 Transmittal(s) \_\_\_\_\_  
 Extension of Time (For \_\_\_\_\_ month(s)) \_\_\_\_\_

☒ OTHER Req. for Collected Filing  
Receipt 2-29-07  
Copy of Collected Filing  
2-29-07  
Certificate of Mailing

RECEIPT IS HEREBY ACKNOWLEDGED

☐ ASSIGNMENT \_\_\_\_\_  
 Transmittal \_\_\_\_\_ Confirmatory \_\_\_\_\_  
☐ INFORMATION DISCLOSURE STATEMENT  
 PTO FORM 4149 \_\_\_\_\_ Refs. \_\_\_\_\_  
☐ CHECK(S) in Amount \$ \_\_\_\_\_

NO. 462

Inventor/Applicant: Michael A. Hoopes et al. Pat. No. 11554114  
 Title: Single HUB for a Hub and Spoke Ser. No. 9-238-06  
 Attorney Docket No.: 1801-00864 Filed Date: 9-12-07

☐ PATENT/DESIGN APPLICATION

Transmittal(s) \_\_\_\_\_  
 Cont. Div. C-I-P \_\_\_\_\_ Provisional \_\_\_\_\_  
 Declaration/Power of Atty. \_\_\_\_\_  
 pgs. Specification \_\_\_\_\_  
 pgs. Claims \_\_\_\_\_  
 pgs. Abstract \_\_\_\_\_  
 Sheet(s) of Drawing(s) \_\_\_\_\_ Formal \_\_\_\_\_ Informal \_\_\_\_\_  
 Preliminary Amendment \_\_\_\_\_  
 Claim for Right of Priority \_\_\_\_\_  
 Priority Document(s) \_\_\_\_\_  
 Small Entity Statement \_\_\_\_\_  
 Final Fee \_\_\_\_\_  
 Response to missing parts \_\_\_\_\_

☐ AMENDMENT (Due \_\_\_\_\_)  
 Transmittal(s) \_\_\_\_\_  
 Extension of Time (For \_\_\_\_\_ month(s)) \_\_\_\_\_

☒ OTHER Req. for Collected Filing  
Receipt 2-29-07  
Copy of Collected Filing  
2-29-07  
Certificate of Mailing

RECEIPT IS HEREBY ACKNOWLEDGED

☐ ASSIGNMENT \_\_\_\_\_  
 Transmittal \_\_\_\_\_ Confirmatory \_\_\_\_\_  
☐ INFORMATION DISCLOSURE STATEMENT  
 PTO FORM 4149 \_\_\_\_\_ Refs. \_\_\_\_\_  
☐ CHECK(S) in Amount \$ \_\_\_\_\_

Stamp: **PTO**  
**MAR 14 2007**  
**PATENT & TRADEMARK OFFICE**



**CERTIFICATE OF MAILING**

I hereby certify that this Request for Correction of Filing Receipt in connection with U.S. Patent Application Serial No. ~~11/554,114~~ is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12<sup>th</sup> day of March, 2007.

By: Jacqueline K. Bosler

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

TITLE OF INVENTION: SINGLE JAW MECHANISM FOR FIFTH WHEEL  
HITCH

U.S. APPLICATION NO.: ~~11/554,114~~ **10554114**

NAME OF APPLICANT: Cequent Towing Products

FILING DATE: September 28, 2006

GROUP ART UNIT: 3611

EXAMINER: Not yet assigned

CUSTOMER NO.: 33772

ATTORNEY DOCKET NO.: 18801-00864 (formerly 18801-00733)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sirs:

The filing receipt for the above-referenced Application did not have the provisional application number listed in the Domestic Priority data as claimed by Applicant section. The filing receipt indicates only the PCT application number. Enclosed is a copy of the filing receipt also showing the correction marked in red.

Accordingly, Applicants respectfully request that the corrected filing receipt read as follows:

Domestic Priority data as claimed by applicant:

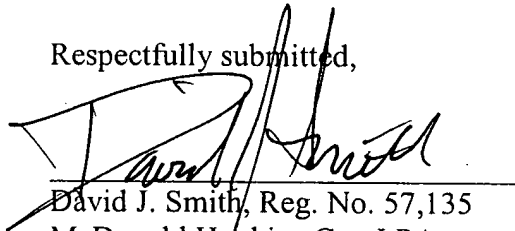
This application is a 371 of PCT/US04/12060 04/20/04

**which claims priority to U.S. Provisional Patent Application Serial No. 60/464,287 filed on April 21, 2003**

Applicants further respectfully request a corrected filing receipt be sent to the undersigned attorney reflecting the above change.

Applicants believe that no fees are due for the filing this response. However, if any fees are due, please charge any such required fees to our Deposit Account No. 13-0265.

Respectfully submitted,



David J. Smith, Reg. No. 57,135  
McDonald Hopkins Co., LPA  
600 Superior Avenue, E., Ste. 2100  
Cleveland, OH 44114-2653  
(216) 348-5431 - Telephone  
(216) 348-5474 - Facsimile

DATED: 3/8/07



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/554,114 ✓	09/28/2006 ✓	3611	600 ✓	18801-00733 00864	12 ✓	20 ✓	2 ✓

CONFIRMATION NO. 9365

## FILING RECEIPT



\*OC00000002228740\*

Robert H Earp III  
 McDonald Hopkins Company  
 2100 Bank One Center  
 600 Superior Avenue East  
 Cleveland, OH 44114-2653

Date Mailed: 02/05/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael A. Hoopes, White Pigeon, MI; ✓  
 Richard W. McCoy, Granger, IN; ✓  
 Thomas W. Lindenman, South Bend, IN; ✓  
 Anthony S. Roberts, Granger, IN; ✓

## Power of Attorney:

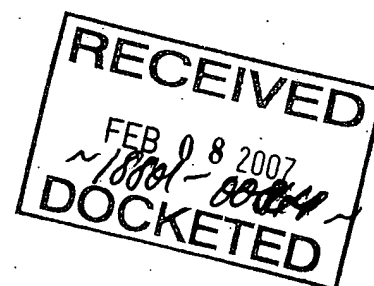
Robert Earp III-41004  
 Todd Benni-42313  
 Robert Baraona-45426  
 David Cupar-47510

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/12060 04/20/2004 ✓

PROVISIONAL 60/464,287

## Foreign Applications



If Required, Foreign Filing License Granted: 01/29/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/554,114**

Projected Publication Date: 05/10/2007

02-08-07A09:53 RCVD

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Single jaw mechanism for fifth wheel hitch

**Preliminary Class**

280

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

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set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Michael A. Hoopes et al. Group Art: 3611  
Serial No.: ~~11/354,114~~ **10554114** Examiner: Not Yet Assigned  
Filing Date: September 28, 2006 Docket No.: 18801-00864  
(formerly 18801-00733)  
Title: SINGLE JAW MECHANISM FOR FIFTH WHEEL HITCH

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Dear Sir:

I hereby certify that these papers are being deposited with the United States Postal Service with sufficient postage as first class mail on the date below and in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 12, 2007

Date of Deposit

Jacqueline K. Bozjak

(Typed or Printed Name)

Jacqueline K. Bozjak  
Signature

Documents Enclosed:

1. Request for Correction of Filing Receipt for Non-Provisional Application (2 pages)
2. Copy of Corrected Filing Receipt (3 pages)
3. Return Receipt Postcard